

Electronic Acknowledgement Receipt

EFS ID:	3541447
Application Number:	10627646
International Application Number:	
Confirmation Number:	3617
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$810

RAM confirmation Number		1375			
Deposit Account		110600			
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File Listing:					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	RCE.pdf	735505	no	3
			2e811932f9f1fa055c8ab480c39893eb68eac3f		
Warnings:					
Information:					
2		ResponsetoOA.pdf	97602	yes	10
			093045d98ba515618d5e961090d5398c32946f1		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Claims		2	7	
	Applicant Arguments/Remarks Made in an Amendment		8	10	
Warnings:					
Information:					
3	Fee Worksheet (PTO-06)	fee-info.pdf	8287	no	2
			43e0be011814ca249d1bc40b20eaa556de29d9		
Warnings:					
Information:					
Total Files Size (in bytes):			841394		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.